



Certainty

Carbon Markets Essentials Transitioning to AFS Licensing



Program Facilitation

Michelle East is Director of Certainty Compliance, specialist consultants in financial services licensing and implementing compliance.

Sydney CBD

25 June 2012 – 2:00pm – 5:00pm
11 July 2012 – 9:00am – 12:30pm
8 August 2012 – 9:00am – 12:30pm

Melbourne CBD

25 June 2012 – 2:00pm – 5:00pm
19 July 2012 – 1:30pm – 5:pm
15 August 2012 – 9:00am – 12:30pm

Brisbane CBD

26 June 2012 – 9:00am – 12:30pm
23 July 2012 – 9:00am – 12:30pm
21 August 2012 – 9:00am – 12:30pm

Cost

\$352 per person
(Group discounts may apply)

CPD

On completion of this course you will receive 3 CPD points.

To register

Contact 02 8324 5300 or via email
training@certaintycompliance.com.au

Read more about us at:
www.certaintycompliance.com.au

The transition period for licensing of carbon products has commenced. Are you prepared?

Certainty Compliance has developed a training course especially for Senior Managers requiring essential, practical and up to date knowledge in financial services regulation.

Financial services regulation is complex and new for many in the carbon market. Knowing what you need to do now and how to prepare for licensing is crucial for anyone in a senior position.

Who should attend?

- Senior staff of organisations new to financial services regulation
- Responsible managers of AFS licence applicants
- Existing AFS licensees preparing to vary their licence

Course Topics

- Key dates in the transition period
- Key decisions before registering with ASIC
- Registering with ASIC before 30 June 2012
- Identify your obligations on 1 July 2012
- Planning your AFSL application
- Determining who should be a Responsible Manager
- Understanding the documents you need to submit to ASIC
- Insights into the ASIC assessment process
- Checklist for Day 1 as an AFS licensee

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